



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspio.gov

APPLICATION NUMBER

21186

P.O. BOX 2938

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/017,800

MINNEAPOLIS, MN 55402

12/12/2001

Eric G. Lovett

279.353US1

CONFIRMATION NO. 9663

Date Mailed: 01/03/2002

FORMALITIES LETTER

OC000000007263980

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37
- Total additional claim fee(s) for this application is \$834.
 - \$414 for 23 total claims over 20.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

- \$420 for 5 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1704.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEM K OFFICE

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COPY OF PAPERS ORIGINALLY FILED

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Application Eric G. Lovett et al.

Title: RATE SMOOTHING CONTROL

Docket No.: 279.353US1 Filed: December 12, 2001

Examiner:

Serial No.: 10/017,800 Due Date: March 3, 2002 Group Art Unit: 3762

Attn: Box Missing Parts Commissioner for Patents Washington, D.C. 20231

We are transmitting herewith the attached:

- X A return postcard.
- X A check in the amount of \$130.00 to cover the Missing Parts Fee.
- X A check in the amount of \$1,574.00 to cover the Basic Filing Fee and Additional Claims Fee.
- X Communication Re: Missing Parts (1 pg.)
- X A signed Combined Declaration and Power of Attorney (3 pgs.).
- X Notice to File Missing Parts (1pg.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

Name: Suneel Arora Reg. No. 42,267 SA:CMG:pms

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this _____ day of March, 2002.

Name Name

Signature



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Eric G. Lovett et al.

Examiner:

Serial No.:

10/017,800

Group Art Unit: 3762

Filed:

December 12, 2001

Docket: 279.353US1

Title:

RATE SMOOTHING CONTROL

COMMUNICATION RE: MISSING PARTS

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$1,574.00 to cover the large entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

ERIC G. LOVETT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 373-6951

By

Date	1	March	2002	_
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Suneel Arora Reg. No. 42,267 SA:CMG:pms

<u>CERTIFICATE UNDER 37 CFR 1.8:</u> The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this day of <u>March</u>, 2002.

Paula Suchy

Signature